

CALIFORNIA POLYTECHNIC STATE UNIVERSITY
San Luis Obispo, California 93407
ACADEMIC SENATE

FILE COPY

Academic Senate Agenda
Tuesday, October 27, 1987
UU 220 3:00-5:00 p.m.

*10.13.87
minutes
removed*

- I. Minutes:
Approval of the October 13, 1987 Minutes (pp. 3-4).
- II. Communications:
 - A. Materials available for reading in the Academic Senate office (p. 2).
 - B. Memo of 10/8/87 from Geigle to Academic Senate Chairs re faculty involvement in Executive Review (p. 5).
 - C. Memo of 9/15/87 from Smart to Geigle re Trustees' procedures for Executive Review (p. 6).
- III. Reports:
 - A. President
 - B. Academic Affairs Office
 - C. Statewide Senators
- IV. Consent Agenda:
- V. Business Items:
 - A. Resolution on Definition of "Close Relative"-Murphy, Chair of the Personnel Policies Committee, Second Reading (p. 7).
 - B. Resolution on Proposal for Specialist to Guide the Applied Research and Development Facility-Jamieson, Chair of the Research Committee, First Reading (pp. 8-11).
- VI. Discussion Items:
- VII. Adjournment:

Materials Available for Reading in the Academic Senate Office (FOB 25H)

June 1987	Documents/statistics/reports/etc. provided at the Student Retention Conference in June 1987
6/10/87	Correspondence from Eric Seastrand re allocation of lottery funds to the CSU and Board of Trustees' Committee on Finance Report on the Lottery Revenue Budget Process
6/22/87	Publications from the Office of the Chancellor re Teacher Education
7/14/87	CSU Committee of the Whole: New Priority Topics for 1987-88
7/28/87	Status Report #4-FY 1987/88, CSU Final Budget Quarterly Internal Report on Enrollment-Summer 1987
July 1987	<u>The Master Plan Renewed</u> , Commission for the Review of the Master Plan for Higher Education
8/3/87	Quarterly Internal Report on Enrollment-Summer 1987
9/4/87	Capital Outlay Program 1988-89
9/15/87	Board of Trustees' Agenda, September 15/16, 1987
9/23/87	1986/87 Discretionary Fund Reports
10/12/87	Executive Review Policies and Procedures
10/20/87	Funding Excellence in Higher Education (CPEC) The State's Interest in Student Outcomes Assessment (CPEC) State Incentive Funding Approaches for Promoting Quality in California Higher Education: A Prospectus (CPEC) Assembly Bill #2016 - Higher Education Talent Development



ACADEMIC SENATE
OF
THE CALIFORNIA STATE UNIVERSITY

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Academic Senate

Office of the Chair

MEMORANDUM

TO: Chairs, Campus Academic Senates

FROM: Ray Geigle, Chair
Academic Senate CSU

SUBJECT: Faculty Involvement in Executive Review

DATE: October 8, 1987

In the fall of 1986 a Senate document examining procedures for Executive Review was distributed to campuses for advice and comment. At its November 6, 1986 meeting the Academic Senate CSU approved unanimously the final resolution, "Recommendations Regarding Executive Review Policies and Procedures," (AS-1692-86/FA), copy enclosed. By November 12, 1986, copies of the resolution were mailed out to all campus academic senates in the regular Senate packet. The resolution was presented to the Board of Trustees committee revising the procedures for Executive Review, chaired by Trustee Tom Barnard, at its January, 1987 meeting. Since that time, the Executive Committee, in consultation with the Faculty Affairs Committee of the Senate, has been in discussions with the Chancellor and her staff to secure implementation of as many of our recommendations as possible.

Our primary objectives were: (1) to secure a wider faculty participation in written evaluations, (2) the inclusion of a faculty member on the executive review team, and, (3) adoption as a criterion in the evaluation, the executive's adherence to the principles of collegiality as they are described in the statement adopted by the Board in 1986.

We have reached agreement with the Chancellor and her staff on the implementation of those objectives. Enclosed with this letter is a copy of a letter from John M. Smart, explaining how they will be implemented in each Executive Review, including reviews of campus presidents. Please be advised that, beginning immediately, faculty will be included on Presidential review teams, members of current and immediate past Campus Senate Executive Committees will be included in the list of persons doing written evaluations, and adherence to the collegiality statement will be a criterion on which evaluations will be done.

If you have any questions about the policy and its implementation, please contact me here at the Senate office.

cc: Executive Committee w/attachments

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THE CALIFORNIA STATE UNIVERSITY

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Academic Senate

September 15, 1987

Dr. Ray Geigle
Statewide Academic Senate
California State University
400 Golden Shore
Long Beach, California 90802

Dear Chair Geigle:

Chancellor Reynolds has informed me of your discussions regarding modifications to the methods of implementing the Trustee procedures for Executive Review. These changes would be designed to increase faculty input.

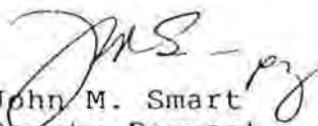
In light of these discussions, we propose as a normal rule to include a faculty person on the six-year review teams for campus presidents. This person would not be affiliated with the campus under review and would normally be a distinguished person most likely recently retired. Ideally, the individual would have had some administrative experience as well as having solid faculty credentials.

In addition, when soliciting written comments as a part of reviews, we will endeavor to solicit comment from at least two members of campus senate executive committees of the current or immediate preceding academic year. These solicitations will be in addition to those currently made from the immediate past chair of the campus Senate and recent recipients of distinguished professors awards. And, as you know, we encourage Presidents to provide names of other faculty from whom to solicit comments.

Finally, in correspondence and in the charge to review committees we will stress the need to assess collegial relationships.

I trust this is satisfactory with you. The Chancellor and I are, of course, most concerned that faculty perceptions are accurately and fairly represented in the review process.

Sincerely,


John M. Smart
Deputy Provost

JMS:pg

cc: Dr. W. Ann Reynolds
Dr. Lee R. Kerschner

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OCT 8 1987

Academic Senate CSU
Chancellor's Office

Adopted: _____

ACADEMIC SENATE
OF
CALIFORNIA POLYTECHNIC STATE UNIVERSITY
San Luis Obispo, California

Background statement:

In a memo dated January 8, 1987, Malcolm Wilson, Interim Vice President for Academic Affairs, requested the Academic Senate's advice on the definition of "Close Relative" for University Interest Admits. This memo was forwarded to the Personnel Policies Committee for comment and any action deemed appropriate. The Personnel Policies Committee has reviewed the situation and submits the following resolution.

AS-____-87/____

RESOLUTION ON
DEFINITION OF "CLOSE RELATIVE"

- WHEREAS, There has been a practice to provide admission to "close relatives" of employees of Cal Poly; and
- WHEREAS, Such policy represents a benefit to the employee; and
- WHEREAS, There is a need for a definition of "close relative" to be applied in the implementation of the campus admissions policy which grants automatic admission to CSU qualified "close relatives" of employees; and
- WHEREAS, A policy setting forth such a definition does not exist in the Campus Administration Manual (CAM); therefore, be it
- RESOLVED: That the following be added as CAM 601.8:
- Admission shall be granted to the spouse, child, brother, sister, parent, grandchild, grandparent, niece, or nephew of any full-time employee or part-time permanent employee or emeriti of Cal Poly or any of its official auxiliary organizations, when said admittee meets the CSU admission requirements.

Proposed By:
Personnel Policies Committee
On September 30, 1987
Revised October 13, 1987

Adopted: _____

ACADEMIC SENATE
OF
CALIFORNIA POLYTECHNIC STATE UNIVERSITY
San Luis Obispo, California

AS-_____ -87/_____

RESOLUTION ON
APPLIED RESEARCH AND DEVELOPMENT FACILITY

Whereas the Applied Research and Development Facility has been established on campus, but not funded; and,

Whereas contracting with an outside consultant to seek applied research projects is a departure from current practice; and,

Whereas, this attached proposal offers a way to get outside support at minimal cost to Cal Poly, therefore be it

Resolved that the Academic Senate endorses the proposal to contract a specialist who will solicit private industry for applied research awards for the faculty and gifts to remodel the facility.

Proposed by: Academic Senate Research Committee
On: October 7, 1987

10/21/87

**PROPOSAL FOR A SPECIALIST
TO GUIDE THE
APPLIED RESEARCH AND DEVELOPMENT FACILITY**

Robert A. Lucas
Associate Vice President for
Graduate Studies, Research, and Faculty Development

This is a proposal to contract for a research and consulting development specialist for the Applied Research and Development Facility at Cal Poly. It has been developed in consultation with the Facility Board comprising Ed Carnegie, Harry Fierstine, Ray Gordon, and Dick Zweifel.

Background: The Applied Research and Development Facility was established over two years ago, but its progress has been slowed by a lack of staffing and resources to develop it. When the plan for converting Building 04 to a research center was approved, no budget was provided for remodeling, equipping it, or day-to-day operation.

In May of 1986, a second proposal was drafted that outlined a plan to raise private funds to refurbish the building to house a selected number of activities. Funding of the plan depended upon private corporate support, approximately \$350,000 to be primed by a seed grant from the University of \$30,000 in travel, per diem, and in kind clerical support.

This proposal outlines a way to take action on that proposal.

Problem: The University has limited resources to put into its research development facilities. The Facility is a large building with significant possibilities, but for these to be realized, considerable capital expense for extensive remodeling and for installing research equipment is required. Once the building is made operational, it will also need a steady flow of applied research contracts of sufficient scale and instructional relevance so that the facility can support itself. If grants and contracts are maintained at a sufficient level, the facility's operating expense can be handled through the indirect costs earned on research projects.

A catalyst is necessary to begin the process.

Opportunity: Wes Witten, a person with wide industrial experience, a friend of Cal Poly, Chair of the President's Cabinet, and a distinguished alumnus of the School of Engineering, has indicated that he is interested in assisting the University in developing the possibilities of the Facility. Through his work in industry and his role as Chair of the President's Cabinet, he has developed numerous industrial contacts and the background necessary to be an effective entrepreneur for the facility.

Witten is willing to work as an independent contractor to develop the facility, subject to the following conditions:

- That the first call on his energies will be to identify faculty research interest and to locate industries interested in supporting that research, rather than to bring industry problems here in search of a solution.
- That once the initial contact has been completed and the link between faculty member and contact at the industry has been established, the principal investigator will be responsible for writing the proposal and shepherding it through university review.
- That he take responsibility for pursuing, at no cost to the university for his services, the corporate matching gifts which will make the facility operational by refurbishing and equipping it.
- That the source of his remuneration be an add-on percentage to the indirect costs recovered on industry grants and contracts obtained through his direct agency.
- That he be reimbursed by the University for out-of-pocket expenses for travel to develop project contracts and facility development gifts, and that he receive office clerical support.
- That he have no responsibility for the day-to-day operation of the facility.

Operational Plan: Witten would enter into an independent consultant agreement with Cal Poly, beginning with the fall quarter of 1987, to seek applied research and development contracts for faculty to pursue activities related to their interests and funded by California industry. He will also enter into a one-year pilot agreement to work on the capital campaign to locate corporate funds to convert the facility into a working laboratory.

Fee for his services will be derived from the overhead on those contracts executed as a direct result of his activity. These contracts will be clearly identifiable from their indirect cost rates. Each will be two percent above normal indirect costs, using a total direct cost base for the calculation. The difference between the normal indirect cost rate and the augmented indirect cost rate will be Witten's fee, payable to him when the contract is executed.

Because some projects will require specialized equipment that is not in the Facility, they will be conducted at other sites on campus. Witten will also receive a fee for these projects if he was responsible for their award. Again, his role in their award will be clearly identifiable through the higher indirect cost rate.

Character of Applied Research: The May, 1986, proposal identified a number of research directions the facility could pursue as private funding came in. Such identification may have been premature. This proposal suggests that it is better to focus on projects that have a number of characteristics important to Cal Poly's educational mission. Applied research projects for the Facility should have a number of the following characteristics:

RELATED TO INSTRUCTIONAL DISCIPLINES: Applied research activities will provide learning opportunities for undergraduate and graduate students in their disciplines.

RELATED TO FACULTY EXPERTISE: Projects should build on current research momentum possessed by one or more faculty. Projects to solve industry problems that are of little interest to our faculty are not desirable.

VISIBLE: Visible projects will help the facility make a name for itself in applied research and will further assist the development of the facility.

INTERDISCIPLINARY: Projects that cut across departmental lines offer an excellent opportunity for faculty to learn about and work with others of like interest in different departments.

DIVISIBLE: Projects that have tasks that can be parcelled out have the virtue of involving more than one faculty member, and expanding the base of activity so that more Cal Poly faculty become involved in research.

CURRENT: Projects which pursue current topics and which are useful to society have the chance of being more readily funded and will highlight the applied nature of the facility and of the instructional program.

Once a number of projects have been funded, new directions will emerge that may suggest an identifiable focus for applied research in the facility. As this occurs, it may be appropriate for us to redefine the facility as a building with a more specific applied research mission.

The capital campaign effort will be coordinated with the Office of the Vice President for University Relations.

At the end of nine months, the success of the overall activity will be evaluated by the Facility Board, and a recommendation will be made to the Associate Vice President for Graduate Studies, Research, and Faculty Development concerning its continuation.